

SAMPLE  
RESELLER INFO  
L.D. OR L.E.

Before the  
PUBLIC SERVICE COMMISSION OF KENTUCKY

IN THE MATTER OF THE INFORMATIONAL FILING OF )  
CAT COMMUNICATIONS INTERNATIONAL, INC. )  
FOR AUTHORITY TO OPERATE AS A RESELLER OF ) No. \_\_\_\_\_  
INTEREXCHANGE AND LOCAL EXCHANGE )  
TELEPHONE SERVICE THROUGHOUT KENTUCKY )

CAT Communications International, Inc. hereby submits the following information in accordance with the provisions of Administrative Case No. 359 and its proposed tariffs in accordance with 807 KAR 5:011.

1. The name, post office address, telephone and fax number of the applicant corporation are:  
  
CAT Communications International, Inc.  
5650 Hollins Road  
Roanoke, Virginia 24019  
Ph: (540) 265-2555  
Fx: (540) 265-7742  
Toll Free: 1-888-477-1224
2. A copy of the Company's Articles of Incorporation and Kentucky Certificate of Authority attached hereto as **Exhibits A and B**.
3. The name, street address, telephone and fax numbers of the responsible contact person(s) for customer complaints and regulatory issues:

Customer Service Contact:

Pat Spencer, Supervisor  
5650 Hollins Road  
Roanoke, Virginia 24019  
Ph. (540) 265-2555 or 1-888-477-1224 (toll free)  
Fx. (540) 265-7742

Regulatory Contact:

Norman D. Mason, President/CEO  
5650 Hollins Road  
Roanoke, Virginia 24019  
Ph. (540) 265-2555; Ext. 118  
Fx. (540) 265-7742

4. A notarized statement that the company has not provided or collected for intrastate service in Kentucky prior to filing its tariff is attached as **Exhibit C**.
5. The company does not seek authority to provide operator assisted services to traffic aggregators as defined in Administrative Case No. 330.
6. The company's proposed tariffs are attached as **Exhibits D and E**.
7. A sample Company bill is attached as **Exhibit F**.

WHEREFORE, CAT Communications International, Inc. requests that the Public Service Commission of the Commonwealth of Kentucky grant authority to engage in the resale of local exchange and interexchange telecommunications services to the public in accordance with applicable laws currently in effect or hereinafter enacted by the Commission.

Respectfully submitted this 10<sup>th</sup> day of June, 1999.

**CAT Communications International, Inc.**

By: 

Monica R. Borne  
Nowalsky, Bronston & Gothard  
3500 N. Causeway Blvd.  
Suite 1442  
Metairie, Louisiana 70002  
Ph. (504) 832-1984

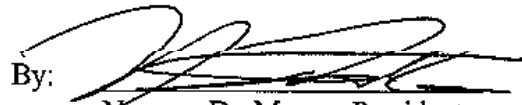
**VERIFICATION OF APPLICANT**

STATE OF Virginia )  
COUNTY OF Roanoke )

SS:

I, Norman D. Mason, being first duly sworn, state that I am President of CAT Communications International, Inc. the Applicant herein; that I have reviewed the matters set forth in the Application and Exhibits and the statements contained therein are true to the best of my knowledge, except as to those matters which are stated on information or belief, and as to those matters I believe them to be true.

**CAT Communications International, Inc.**

By:   
Norman D. Mason, President

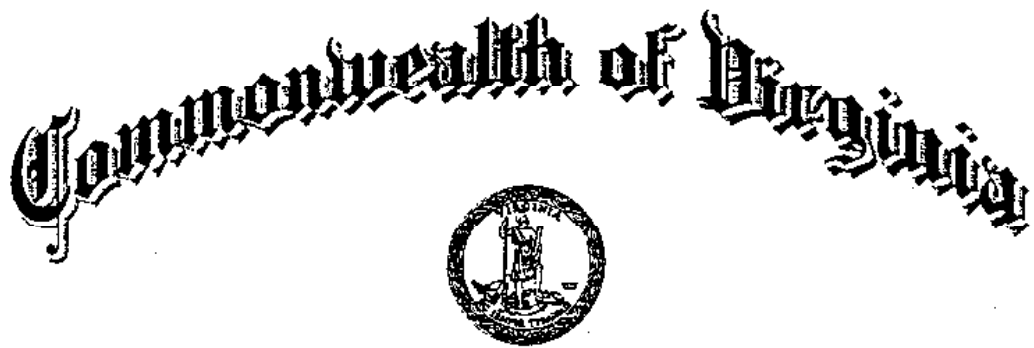
Sworn to and subscribed before me this 28 day of May, 1999

  
Notary Public

My Commission Expires: 12/31/00

# **EXHIBIT A**

ARTICLES OF INCORPORATION



## STATE CORPORATION COMMISSION

*Richmond,* November 3, 1997

*This is to Certify that the certificate of incorporation of*

CAT COMMUNICATIONS INTERNATIONAL, INC.

*was this day issued and admitted to record in this office  
and that the said corporation is authorized to transact its business  
subject to all Virginia laws applicable to the corporation and its  
business. Effective date:*

November 3, 1997



*State Corporation Commission*

*William J. Bridge*  
Clerk of the Commission

**ARTICLES OF INCORPORATION**  
**OF**  
**CAT COMMUNICATIONS INTERNATIONAL, INC.**

We, the undersigned, hereby associate to form a stock corporation under the provisions of Chapter 9 of Title 13.1 of the Code of Virginia, 1950, as amended to date, and to that end we do by this, our Articles of Incorporation, set forth the following information:

- A. The name of this corporation is to be CAT COMMUNICATIONS INTERNATIONAL, INC.
- B. The authorized number of shares which the corporation shall have authority to issue and the par value per share are as follows: class - common, number of shares - 2,000, par value - \$1.00.
- C. Post Office address of the initial registered office of the corporation is Bramblewood Park, 2721 Brambleton Avenue, S. W., P. O. Box 21681, City of Roanoke, Virginia 24018.
- D. The name of the initial registered agent of this corporation is Tommy Joe Williams, who is a resident of the State of Virginia and a member of the Virginia State Bar and whose business office is the same as the registered office of the corporation.
- E. The number of directors constituting the initial Board of Directors are four (4) and their names and addresses are: Norman D. Mason, P. O. Box 539, Cloverdale, Virginia, 24077; Barbara S. Mason, P. O. Box 539, Cloverdale, Virginia, 24077; Chris Sedone, P. O. Box 539, Cloverdale, Virginia, 24077; and Howard Swartz, P. O. Box 539, Cloverdale, Virginia, 24077.
- F. The period of time for which this corporation shall endure shall be unlimited.
- G. The Shareholders shall have no preemptive rights.

GIVEN under my hand this 31 day of October, 1997.

  
\_\_\_\_\_  
Tommy Joe Williams, Incorporator

**ARTICLES OF AMENDMENT OF**  
**CAT COMMUNICATIONS INTERNATIONAL, INC.**

NOW COMES Tammy Joe Williams, the Incorporator, pursuant to Section 13.1-

709 of the Code of Virginia, as amended, and states as follows:

- 1) The corporation is CAT Communications International, Inc.
- 2) No shares of stock have yet been issued.
- 3) That the Board of Directors is comprised of Norman D. Mason, Chris Sedrone, Howard Swartz and Barbara S. Mason.
- 4) An Article of Amendment is hereby unanimously adopted by the Board of Directors as follows:

- a) Paragraph B authorizing 2,000 common shares of \$1.00 par is hereby amended to read as follows: "The authorized number of shares which the corporation shall have authority to issue and the par value per share are as follows:

Class - Common  
Number of Shares = 2,000  
Par Value = \$1.00


Class - Common-Nonvoting  
Number of Shares = 2,000  
Par Value = \$1.00

This Amendment was adopted on the 24<sup>th</sup> day of November, 1997.

This Amendment was adopted without shareholder approval there being no shares

Issued at the date of adoption.

Given my hand this 24<sup>th</sup> day of November, 1997.

  
Norman D. Mason, President

CAT Communications International, Inc.

COMMONWEALTH OF VIRGINIA  
STATE CORPORATION COMMISSION

April 14, 1998

The State Corporation Commission has found the accompanying  
articles submitted on behalf of

**CAT COMMUNICATIONS INTERNATIONAL, INC.**

to comply with the requirements of law, and confirms payment of  
all related fees.

Therefore, it is ORDERED that this

**CERTIFICATE OF AMENDMENT**

be issued and admitted to record with the articles of amendment in  
the Office of the Clerk of the Commission, effective April 14,  
1998 at 01:01 PM.

The corporation is granted the authority conferred on it by law in  
accordance with the articles, subject to the conditions and  
restrictions imposed by law.

STATE CORPORATION COMMISSION

By



Commissioner

AMENACPT  
C1520436  
98-04-13-0177



**ARTICLES OF INCORPORATION**  
**OF**  
**CAT COMMUNICATIONS INTERNATIONAL, INC.**

We, the undersigned, hereby associate to form a stock corporation under the provisions of Chapter 9 of Title 13.1 of the Code of Virginia, 1950, as amended to date, and to that end we do by this, our Articles of Incorporation, set forth the following information:

- A. The name of this corporation is to be CAT COMMUNICATIONS INTERNATIONAL, INC.
- B. The authorized number of shares which the corporation shall have authority to issue and the par value per share are as follows: class - common, number of shares - 2,000, par value - \$1.00.
- C. Post Office address of the initial registered office of the corporation is Bramblewood Park, 2721 Brambleton Avenue, S. W., P. O. Box 21681, City of Roanoke, Virginia 24018.
- D. The name of the initial registered agent of this corporation is Tommy Joe Williams, who is a resident of the State of Virginia and a member of the Virginia State Bar and whose business office is the same as the registered office of the corporation.
- E. The number of directors constituting the initial Board of Directors are four (4) and their names and addresses are: Norman D. Mason, P. O. Box 539, Cloverdale, Virginia, 24077; Barbara S. Mason, P. O. Box 539, Cloverdale, Virginia, 24077; Chris Sedone, P. O. Box 539, Cloverdale, Virginia, 24077; and Howard Swartz, P. O. Box 539, Cloverdale, Virginia, 24077.
- F. The period of time for which this corporation shall endure shall be unlimited.
- G. The Shareholders shall have no preemptive rights.

GIVEN under my hand this 8 day of October, 1997.

  
Tommy Joe Williams, Incorporator

COMMONWEALTH OF VIRGINIA  
STATE CORPORATION COMMISSION

November 3, 1997

The State Corporation Commission has found the accompanying  
articles submitted on behalf of

CAT COMMUNICATIONS INTERNATIONAL, INC.

to comply with the requirements of law, and confirms payment of  
all related fees.

Therefore, it is ORDERED that this

CERTIFICATE OF INCORPORATION

be issued and admitted to record with the articles of  
incorporation in the Office of the Clerk of the Commission,  
effective November 3, 1997.

The corporation is granted the authority conferred on it by law in  
accordance with the articles, subject to the conditions and  
restrictions imposed by law.

STATE CORPORATION COMMISSION

By



Commissioner

CORPACPT  
CIS20423  
97-11-03-0068

# Commonwealth of Virginia



## State Corporation Commission

*I Certify the Following from the Records of the Commission:*

The foregoing is a true copy of all documents constituting the charter of CAT COMMUNICATIONS INTERNATIONAL, INC..

Nothing more is hereby certified.



*Signed and Sealed at Richmond on this Date:  
June 1, 1999*

*Joel H. Peck*  
Joel H. Peck, Clerk of the Commission

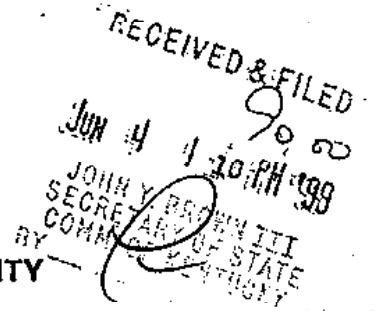
# **EXHIBIT B**

KENTUCKY CERTIFICATE OF AUTHORITY

COMMONWEALTH OF KENTUCKY  
JOHN Y. BROWN III  
SECRETARY OF STATE



APPLICATION FOR CERTIFICATE OF AUTHORITY



Pursuant to the provisions of KRS Chapter 271B, 273 or 274, the undersigned hereby applies for authority to transact business in Kentucky on behalf of the corporation named below and for that purpose submits the following statements:

- The corporation is ☒ a business corporation (KRS 271B). ☐ a nonprofit corporation (KRS 273).  
☐ a professional service corporation (KRS 274).
- The name of the corporation is  
CAT Communications International, Inc.
- The name of the corporation to be used in Kentucky is  
(if "real name" is unavailable for use)
- Virginia is the state or country under whose law the corporation is incorporated.
- November 3, 1997 is the date of incorporation and the period of duration is perpetual
- The street address of the corporation's principal office is  
5650 Hollins Road, Roanoke, Virginia 24019
- The street address of the corporation's registered office in Kentucky is  
400 West Market Street, Suite 1800, Louisville, KY 40202  
and the name of the registered agent at that office is  
National Registered Agents, Inc.
- The names and usual business addresses of the corporation's current officers and directors are as follows:  
President/Dir., Norman Mason, 5650 Hollins Rd., Roanoke, VA 24019  
Vice President/Dir., Doyal Bryant, 5650 Hollins Rd., Roanoke, VA 24019  
Secretary/Dir., Barbara Mason, 5650 Hollins Rd., Roanoke, VA 24019  
Treasurer \_\_\_\_\_  
Directors Patricia Spencer, 5650 Hollins Rd., Roanoke, VA 24019  
Joe Clark, 5650 Hollins Rd., Roanoke, VA 24019  
(Attach a continuation sheet, if necessary)
- If a professional service corporation, all the individual shareholders, not less than one half of the directors, and all of the officers other than the secretary and treasurer are licensed in one or more states or territories of the United States or District of Columbia to render a professional service described in the statement of purposes of the corporation.
- A certificate of existence duly authenticated by the Secretary of State accompanies this application.
- This application will be effective upon filing, unless a delayed effective date and/or time is specified: \_\_\_\_\_  
(Delayed effective date and/or time)

Norman Mason, President  
Signature  
Type or Print Name & Title

Date: May 28, 1999

National Registered Agents, Inc.

Type or print name of registered agent

consent to serve as the registered agent on behalf of the corporation.

Charles A. Coyle  
Signature of Registered Agent  
Charles A. Coyle - Assistant Secretary  
Type or Print Name & Title

# Commonwealth of Virginia



## State Corporation Commission

*I Certify the Following from the Records of the Commission:*

CAT COMMUNICATIONS INTERNATIONAL, INC. is a corporation existing under and by virtue of the laws of Virginia, and is in good standing.

The date of incorporation is November 03, 1997.

Nothing more is hereby certified.

*Signed and Sealed at Richmond on this Date:*  
*May 28, 1999*



*Joel H. Peck*

*Joel H. Peck, Clerk of the Commission*

# **EXHIBIT C**

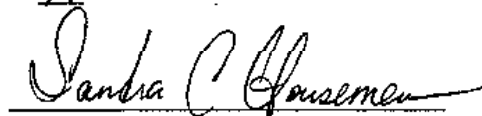
NOTARIZED STATEMENT

**AFFIDAVIT**

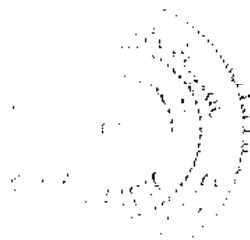
I, Norman D. Mason, President of CAT Communications International, Inc. do hereby certify that the Company has not provided or collected for intrastate service in Kentucky prior to filing of this application and tariff.

  
Norman D. Mason, President  
CAT Communications International, Inc.

Sworn to and subscribed before me  
this 28 day of MAY,  
1999.

  
Sandra C. Houseman  
Notary Public

My Commission Expires: 12/31/00





# **EXHIBIT D**

PROPOSED INTEREXCHANGE TARIFF